

E4604

09/700031

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

PCT/JP99/02478

INTERNATIONAL FILING DATE

13 May 1999

PRIORITY DATE CLAIMED

13 May 1998 and  
2 April 1999

TITLE OF INVENTION

BLOOD TREATING FILTER EQUIPMENT AND BLOOD TREATMENT METHOD

APPLICANT(S) FOR DO/EO/US

Ushio IWAMOTO, Junsuke SUEMITSU AND Makoto YOSHIDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Form PCT/IB/308

Search Report.

International Preliminary Examination Report.

Application Data Sheet.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP99/02478		ATTORNEY'S DOCKET NUMBER E4604	
---	--	---	--	-----------------------------------	--

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... 860.

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... 710.

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... 690.

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... 100.

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	7 - 20 =	0	x \$ 18.	\$ 0	
Independent claims	1 - 3 =	0	x 80.	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860	
Reduction of 1/2 for small entity				\$	
<b>SUBTOTAL =</b>				\$ 860	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 860	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40	
<b>TOTAL FEES ENCLOSED =</b>				\$ 900	
				Amount to be refunded:	\$
				charged:	\$

**CALCULATIONS PTO USE ONLY**

a. ☒ A check in the amount of \$ 900 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

November 9, 2000

SEND ALL CORRESPONDENCE TO:

Young & Thompson  
745 South 23rd Street  
2nd Floor  
Arlington, VA 22202  
(703) 521-2297

**CUSTOMER NO. 000466**

*Benoit Castel*

SIGNATURE

Benoit Castel

NAME

35,041

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ushio IWAMOTO et al.

Serial No. (unknown)

Filed herewith

BLOOD TREATING FILTER  
EQUIPMENT AND BLOOD  
TREATMENT METHOD

PRELIMINARY AMENDMENT

Commissioner of Patents

Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee, please amend  
the above-identified application as follows:

IN THE CLAIMS:

Claim 3, line 2, cancel "or 2".

Claim 4, line 2, change "any one of Claims 1 - 3" to  
--claim 1--.

Claim 5, line 2, change "any one of Claims 1 - 4" to  
--claim 1--.

Claim 6, line 2, change "any one of Claims 1 - 5" to  
--claim 1--;

line 3, cancel "described above".

Claim 7, lines 3 and 4, change "any one of Claims  
1 - 6" to --claim 1--;

Ushio IWAMOTO et al.

line 5, change "theretarough" to  
--therethrough--.

Respectfully submitted,

YOUNG & THOMPSON

By

*Benoît Castel*

Benoît Castel  
Attorney for Applicants  
Registration No. 35,041  
745 South 23rd Street  
Arlington, VA 22202  
Telephone: 703/521-2297

November 9, 2000

09/700031

529 Rec'd PCT/PTO 09 NOV 2000

**I**

BLOOD TREATING FILTER EQUIPMENT AND

## BLOOD TREATMENT METHOD

The present invention relates to a blood

5 treating filter equipment incorporating a filter material to remove specific components efficiently from a large amount of blood.

## BACKGROUND ART

Recently, a requirement has been imposed for a technology to remove a specific protein, leucocyte and the like contained in blood for the purposes of a blood extra-corporeal blood perfusion therapy for autoimmune diseases or inflammatory bowel diseases such as systemic lupus erythematosus, chronic or malignant articular rheumatism, multiple sclerosis, ulcerative colitis and Crohn's disease, or diseases such as leukemia and cancer, or immuno-suppression before an organ transplantation operation.

The blood treating filter equipment used for  
20 these applications is required to have not only a high  
removability but at the same time an ability to treat a  
large amount (about 2 to 5 liters) of blood.

Until now, for example, a flat plate type nonwoven fabric filter composed of extra fine fiber or

an equipment in which the filter is wound cylindrically (JP-A-62-243561) have been proposed as a leucocyte removal filter equipment. However, in a treatment of a large amount of blood as described above with these  
5 filters, a flow rate has to be reduced due to a possible large pressure loss in the equipment depending on a condition of the blood to be treated or when an anticoagulant is added insufficiently or mixed inadequately. This leads to a prolonged treatment  
10 time. Thus, a further improvement in removal efficiency has been required.

The inventors earnestly studied for a cause of the above-mentioned rise of the pressure loss in a filter. As a result, the following were revealed. In  
15 a conventional filter, a large amount of an object to be removed trapped in the filter narrows channels in the filter resulting in making a smooth blood flow difficult and raising a pressure. However, the rise of the pressure loss in a filter described above was not  
20 brought about as a result of an object to be removed as found in a conventional filter, but instead by characteristics specific to blood. In other words, the inventors found out that the rise of the pressure loss was caused by platelets and other coagulation factors  
25 in blood which were activated by their sudden contact with a filter material and formed a blocking membrane, resulting in disturbing a smooth blood flow.

To avoid the problem described above, it is

considered to be effective to enlarge a surface area of the filter. However, a simple enlargement of a filter size extremely impairs operability and productivity of the equipment. Furthermore, an enlargement of a  
5 surface area of a filter within a fixed volume of a filter material according to a conventional method lowers a removal efficiency for an object, due to a reduced filter thickness.

#### DISCLOSURE OF THE INVENTION

10 In view of the points described above, an object of the present invention is to provide a blood treating filter equipment with a high ability to avoid a pressure rise, which can inhibit local and abrupt activations of coagulation factors in blood, and remove  
15 target substances to be removed from a large amount of blood with a high efficiency without reducing a treating rate.

In order to achieve the object described above, the present invention comprises the following  
20 (1) and (2).

(1) A filter material, in which the following (a) and (b) are wound in an overlapped state, an end part of (b) is exposed to an outer circumferential surface or an inner circumferential surface of the filter  
25 material, and both end faces of the filter material are sealed liquid-tightly,  
wherein,

(a) is a blood treating filter layer, and  
(b) is a sheet-like spacer layer through which blood flows more easily compared with said blood treating filter layer.

5 (2) A casing in which the filter material described above is installed, wherein the casing has a blood inlet and a blood outlet, the blood inlet leads to the side of an exposed end part of (b) on an outer circumferential surface or an inner circumferential  
10 surface of the filter material described above, and the blood outlet leads to the opposite side to the blood inlet on an inner circumferential surface or an outer circumferential surface of the filter material described above.

#### 15 BRIEF DESCRIPTION OF DRAWINGS

Fig. 1A is an example showing a state in which a spacer layer is substantially continuous.

Fig. 1B is an example showing a state in which a spacer layer is discontinuous.

20 Fig. 2 shows an example of actual spacer layers.

Fig. 3 shows an example of actual cylindrical shapes of a filter material.

Fig. 4 is a schematic drawing of a transverse  
25 cross-section showing an example of the blood treating filter equipment of the present invention.

Fig. 5 is a schematic drawing of a transverse



cross-section showing an example of the blood treating filter equipment of the present invention.

Fig. 6 is a schematic drawing of a longitudinal cross-section showing an example of the blood treating filter equipment of the present invention.

#### BEST MODE FOR CARRYING OUT THE INVENTION

The term "blood treating filter layer" in the present invention means a filter layer which can remove a specified blood cell, protein or the like from a body fluid such as blood, and preferably a filter layer which can selectively capture a blood cell, protein or the like as an object to be removed.

As a form of the filter layer, those considered are such as a nonwoven fabric, a woven cloth, a sheet of porous body or a sheet-like bag packed with particles. In the case when an object to be removed is leucocyte, a nonwoven fabric or a porous body gives preferable results from the view point of removal efficiency.

The term "nonwoven fabric" means a fabric structure made of an assemblage of fibers composed of one or more layers without weaving and knitting. As a material for a fiber, a synthetic fiber, an inorganic fiber or the like is used. Among them, a synthetic fiber, for example, fibers of polyethyleneterephthalate, polybutyleneterephthalate,

nylon, polypropylene, polyethylene, polystyrene, polyacrylonitrile and the like are preferably used.

A mean diameter of a fiber constituting the nonwoven fabric is not less than 0.3  $\mu\text{m}$  and less than 5.0  $\mu\text{m}$ , preferably not less than 0.4  $\mu\text{m}$  and not more than 4.5  $\mu\text{m}$ , more preferably not less than 0.5  $\mu\text{m}$  and not more than 4.0  $\mu\text{m}$ . A mean diameter less than 0.3  $\mu\text{m}$  reduces a fluidity of blood resulting in a large pressure loss in an equipment, and that not less than 5.0  $\mu\text{m}$  impairs a blood treating performance such as a leucocyte removal rate.

The mean diameter of a fiber constituting the nonwoven fabric of the present invention can be obtained, for example, by taking a photograph of fibers constituting a nonwoven fabric using a scanning electron microscope, measuring diameters of 100 or more fibers chosen at random and calculating an arithmetical mean value of them.

And the term "porous body" in the present invention means a structure having a continuous constitution like a three dimensional network with continuous open cells. Material for the porous body is not specially limited and includes a natural high polymer such as cellulose and its derivatives or a polymer material such as polyolefin, polyamide, polyimide, polyurethane, polyester, polysulfone, polyacrylonitrile, polyethersulfone, poly(meth)acrylate, butadiene-acrylonitrile copolymer,

ethylene-vinylalcohol copolymer and polyvinylacetal, or mixture thereof.

A mean pore size of the porous body is not less than 1.0  $\mu\text{m}$  and not more than 60  $\mu\text{m}$ , preferably not less than 2.0  $\mu\text{m}$  and not more than 55  $\mu\text{m}$ , more preferably not less than 3.0  $\mu\text{m}$  and not more than 50  $\mu\text{m}$ . A mean diameter smaller than 1.0  $\mu\text{m}$  reduces a fluidity of blood resulting in a rise of a pressure loss in an equipment, and that larger than 60  $\mu\text{m}$  impairs a blood treatment performance such as a leucocyte removal rate.

The mean pore size in the present invention is a value obtained by measuring by a pressure mercury penetration method. The mean pore size is obtained from a peak (mode) of a graph showing differential volume of fine pores on an axis of ordinate and pore size on an axis of abscissa by the pressure mercury penetration method, for example, measuring with Poresizer 9320 made by Shimazu Corp. For measurement data by the pressure mercury penetration method, the data measured at the pressure range of 1 - 2650 psia are used.

In addition, in order to reduce a capture rate for platelet and enable a selective adsorption and capture for a target substance, a substrate surface of a blood treating filter layer may be coated or grafted with a polymer less capable of capturing platelets. A ligand capable to selectively adsorb a specified

component such as blood cell or plasma protein may also be coated or immobilized.

The term "thickness" of the blood treating filter layer used in the present invention is defined as the shortest distance when a line connecting a given contacting face between a blood treating filter layer and a spacer layer and another contacting face of an adjacent layer crosses over the blood treating filter layer only. This thickness is not less than 0.1 mm and not more than 10.0 mm, preferably not less than 0.15 mm and not more than 8.0 mm, more preferably not less than 0.2 mm and not more than 5.0 mm, though it depends on the diameter of filter or the mean pore size. A thickness of a filter layer more than 10.0 mm reduces a length of the filter layer when the filter layer is wound cylindrically within a limited volume of a filter material, also reduces the length of the spacer layer to be overlapped, and thus makes it difficult to avoid a pressure rise. Also, a thickness less than 0.1 mm extremely restricts a volume of the filter layer of the portion to be overlapped with the spacer layer resulting in a poor blood treating performance such as a leucocyte removability.

The blood treating filter layer may be composed of only one sheet-like filter layer or several sheet-like filter layers of the same kind or multiple kinds.

The term "spacer layer" in the present

invention is a layer through which blood flows more easily compared with the blood treating filter layer, and those used are such as a coarse net-like metal, a synthetic resin, an inorganic fiber, a synthetic fiber, or a nonwoven fabric made of a fiber having a diameter larger than a nonwoven fabric used in the blood treating filter layer and the like. The spacer layer is overlapped and wound together with the blood treating filter layer to create a space where blood flows easier between the blood treating filter layers. Preferably a width of the spacer layer is almost the same as that of the blood treating filter layer, provided that length means the whole length of the spacer layer along a winding direction and width means a length in a perpendicular direction to the winding direction.

The spacer layer may be composed of only one sheet or several sheet-like materials of the same kind or multiple kinds similar to the blood treating filter layer described above, but it is important that the spacer layer is substantially continuous in the filter material. Here, the term "substantially continuous" means that any portion of the spacer layer is connected continuously without an interruption by the blood treating filter layer. Even if the spacer layer itself is not continuous as shown in Fig. 1A, a gap structure formed by winding a filter material can be substantially continuous unless the gap structure is

intercepted by the blood treating filter layer. The spacer layer can become discontinuous as shown in Fig. 1B, but in the present invention, only a substantially continuous part from a blood inlet side is called a  
 5 spacer layer, and a part in a downstream from the portion intercepted by a blood treating filter layer is not called a spacer layer.

The term "volume ratio of spacer section" in the present invention means a ratio of a volume  
 10 occupied by a spacer section to a volume occupied by a filter section. If a thickness of the spacer section is uniform and a filter material is cylindrical, then the volume ratio of spacer section is expressed by the formula (1) described hereinbelow. The term "spacer  
 15 section" means a portion of the spacer layer sandwiched by the blood treating filter layers in its inner and outer sides and leading to the blood inlet side, except a part exposed on the outermost or innermost circumferential surfaces of the filter material or a  
 20 part disconnected from the blood inlet side in the filter material. The volume of the spacer section is calculated as a volume of the spacer section supposing that it is sheet-like. The term "filter section" in the present invention means a part of the filter  
 25 corresponding to a part of the spacer material except the part of the spacer layer exposed on the outermost or innermost circumferential surfaces.

Volume ratio of spacer section = Volume of spacer

(1)

$$\text{Volume of filter section [V]} = L \times \pi \times (R^2 - r^2)$$

10           R: Radius of the outermost circumferential  
layer of the filter section (mm)

L: Width of the filter section (= Width of  
15 the spacer section) (mm)

d: Thickness of the spacer section (mm)

The value of the volume ratio of spacer

section is not less than 0.3 and not more than 0.7, preferably not less than 0.35 and not more than 0.65, and more preferably not less than 0.4 and not more than 0.6. The value of the volume ratio of spacer section less than 0.3 reduces an ability to avoid a pressure rise. The value over 0.7 decreases a volume of a blood treating filter layer, and impairs a blood treatment performance such as leucocyte removability because it decreases a frequency of a contact of blood with a

blood treating filter layer due to an overwhelmingly increased blood flow to a spacer layer.

The term "spacer thickness" as used in the present invention means the shortest distance when a line connecting a given contacting face between a blood treating filter layer and a spacer layer and another contacting face of an adjacent layer crosses over the spacer layer only, and is not less than 0.5 mm and not more than 2.0 mm, preferably not less than 0.6 mm and not more than 1.9 mm, and more preferably not less than 0.7 mm and not more than 1.8 mm. The value of the spacer thickness less than 0.5 mm reduces an ability to avoid a pressure rise. The value over 2.0 mm decreases a volume of a blood treating filter layer, and impairs a blood treating performance such as leucocyte removability because it decreases a frequency of a contact of blood with a blood treating filter layer due to an overwhelmingly increased blood flow to a spacer layer.

Furthermore, the spacer section may have forms such as illustrated in Fig. 2, and is overlapped with a blood treating filter layer. In Fig. 2, blank rectangles in the background express blood treating filters, and assemblages of small squares drawn on them express spacer layers, respectively. Preferably, a width of the spacer section is almost the same as that of the blood treating filter layer provided that length means the whole length along a winding direction of the



layer and width means a length along a perpendicular direction to the winding direction. A length of the spacer section is not necessarily almost the same as that of the blood treating filter layer, but a length  
5 from an end at a blood inlet side where at least overlapping with a blood treating filter layer starts may be about 1/2 or more, preferably 2/3 or more, and more preferably 3/4 or more of the whole length of a blood treating filter layer. If the length of an  
10 overlapped spacer layer to a blood treating filter layer is shorter than this, a desired avoidance for a pressure rise can not be attained in a blood treatment.

In order to recover blood from the equipment after a blood treatment is finished, blood is sometimes  
15 pushed out by air pressure by introducing air into the equipment instead of flowing an aqueous solution such as saline. In consideration of such an operation, a structure in which the entire circumferential surface on the blood outlet side of a filter section is covered  
20 with a filter layer is desirable for easier recovery of blood remaining in the equipment, because a blood treatment can be finished within a short period. On the other hand, a structure in which a spacer layer is exposed at both of an outer and an inner ends of the  
25 filter section is most desirable to achieve more superior ability for avoiding a pressure rise besides keeping a high blood treatment performance such as a leucocyte removability. That is, this structure has a

high blood treatment performance such as a leucocyte removability although an outer and an inner circumferential layers of the filter section are communicating with each other through a spacer layer.

5           The spacer layer exhibits the effects mentioned above by exposing its end at a blood inlet side of a blood treating filter layer. When a blood inlet side is on the outer circumferential surface side, a material constituting a spacer layer may be  
10 extended from a space between blood treating filter layers and exposed on an outer circumferential surface of a filter material, and used as a support continuously covering a whole area of the outer circumferential surface of the filter material (Refer  
15 to (9) in Fig. 4). Since a uniform space corresponding to a thickness of the support is created between an inside wall of a case and a blood treating filter layer by the presence of the support on a whole area of an outer circumference surface like a spacer layer,  
20 introduced blood to be treated can easily spread to the whole area of the outer circumferential surface of the filter material. Preferably such a support is continuous to a spacer layer from the view point of easy manufacturing, but may be wound on the outer  
25 circumferential surface of the filter material independently from the spacer layer. As such a support, a mesh and the like having many apertures to make blood flow easier than through a blood treating

filter layer can be used.

The present invention is new described mainly for a case in which a blood inlet side is on the outer circumferential surface side of a filter material as an example. A filter material composed of a blood treating filter layer and a spacer layer is, for example, wound in a hollow cylindrical shape having a cylindrical space in a central part. The hollow part inside of the filter material becomes a channel for blood recovery after components to be removed such as leucocytes are eliminated from blood. Any shape of the hollow cylinder may be accepted as illustrated in Fig.3, but a cylindrical shape is the most preferable from the view point of a fluidity of blood through the spacer layer and productivity of the filter material. Size of the filter material cannot be determined unconditionally because it depends on an amount of blood to be treated and a structure of the filter material to be adopted, but roughly an outer diameter of 30 - 50 mm and a height of 100 - 250 mm is preferable for treating approximately 3 liters of blood.

A ratio of an outer diameter to a length between both end faces of the cylindrical filter material is desirably around 1 : 10 - 1 : 2. Within a fixed volume of a filter material, the length between both end faces shorter than 1 : 2 reduces an ability to avoid a pressure rise because a flow of blood to a

spacer layer is restricted due to a narrowed entrance into a spacer layer. The length between both end faces longer than 1 : 10 lowers a performance because of a reduced thickness of a blood treating filter layer, and  
5 makes it difficult to wind a blood treating filter layer together with a spacer layer.

In a hollow space in the center of a filter material, preferably a support contacts an inner circumferential surface [(10) in Figs. 4 and 5] and  
10 consists of a pipe and the like having a mesh or a porous part in order to support an inner circumferential surface of a filter material and create a hollow space. The size of the hollow section of a filter material should be properly determined depending  
15 on a size of the filter material, that is, an outer diameter, a thickness and the like of the filter material.

In the present invention, the filter material is installed in a cylindrical case having a similar  
20 size, and both end faces are liquid-tightly sealed so that blood cannot pass through. For sealing, a material which has a superior compatibility in contact with blood and is suitable for liquid-tight sealing may be used. More specifically, a known synthetic resin  
25 such as a urethane and a silicone can be used.

A blood inlet may be located in any desired position of the case so long as blood to be treated can be fed therefrom to the outer circumferential surface

side of the filter material having both ends sealed. Preferably it should be located in an uppermost part of a cylindrical case so that blood to be treated can evenly flow into the outer circumferential surface of the filter material and be dispersed radially for the filter material to be efficiently utilized.

An embodiment of the blood treating filter equipment of the present invention is now explained more specifically using Figs. 4 - 6.

10           The blood treating filter equipment 1 is composed of the filter material 4 wound in a hollow cylindrical shape and the case 2 having the blood inlet 3 and the blood outlet 6. The filter material is liquid-tightly sealed at both end faces with the adhesive 5, and installed in the case 2 so that its outer side and inner side communicate with the blood inlet 3 and the blood outlet 6, respectively.

          The blood to be treated flows into the blood treating filter equipment 1 from the blood inlet 3.

20 Both end faces of the hollow cylindrical filter material 4 are liquid-tightly sealed and the blood cannot pass through. Therefore, through the outermost layer of the blood treating filter layer 7, the outermost layer of the spacer layer 8 or an outer end of the spacer layer 8 which is constituting an outer circumferential surface of the filter material, the blood gradually permeates into the inside of the filter material with components to be removed being captured,

5           The flow of blood in the filter material is a combined stream of two components: a flow that penetrates into the blood treating filter layer 7 accompanied with the capture or removal of components to be removed such as leucocyte, and another flow that runs along the spacer layer 8. As a result, the blood spreads to both the outer and inner circumferential parts of the filter material soon after starting a treatment, and a sharply reduced flow resistance enables a continuation of a smooth and efficient removal of the objects throughout the whole period of time from the start to the end of the treatment.

By the actions described above, a blood flow spirally penetrating toward an inner circumferential surface of the cylindrical filter along with the spacer layer in addition to a blood flow crossing over the blood treating filter layers in an outer part of the filter material can enlarge a contact area between blood and the blood treating filter layer in the initial stage of the start of the treatment. It is believed that this enables inhibiting a local and abrupt activation of blood coagulation components caused by a gathering of the blood into a narrow surface area of the filter. Furthermore, even when a

5

more concretely by Examples.

10

15

20

25

spacer layer was exposed on the most outer filter layer, to give a hollow cylindrical filter material with an outer diameter of 36 mm as shown in Fig. 5.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane. Then, the filter material was installed in a cylindrical polycarbonate case with an inner diameter of 41 mm and a length of 150 mm, which had a blood inlet and a blood outlet in the top part and the bottom part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal filter equipment.

#### Example 5

As a leucocyte removal filter layer, a porous material (mean pore size 30  $\mu\text{m}$ , thickness 1.0 mm) made of a polyvinylformal with a width of 150 mm and a length of 680 mm was used. Also a mesh made of polyethylene (mesh size 8 mm, thickness 1.0 mm) with a width of 150 mm and a length of spacer section of 550 mm was used as a spacer layer. These two layers were wound as in Example 1 - 4, to give a hollow filter material with an outer diameter of 36 mm as shown in Fig. 5.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane.



Then, the filter material was installed in a cylindrical polycarbonate case with an inner diameter of 41 mm and a length of 150 mm, which had a blood inlet and a blood outlet in the top part and the bottom part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal filter equipment.

#### 10 Comparative Example 1

As a leucocyte removal filter layer, a nonwoven fabric (coarseness 66 g/m<sup>2</sup>, thickness 0.4 mm) made of a polyester (PET: density 1.38 g/cm<sup>3</sup>) fiber having a mean diameter of 1.7 μm was used in a two-ply, which had a width of 150 mm and a length of 680 mm. This layer was wound around a cylindrical mesh made of polyethylene (mesh size 8 mm, thickness 1.0 mm, outer diameter 22 mm), to give a hollow cylindrical filter material with an outer diameter of 36 mm.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane. Then, the filter material was installed in a cylindrical polycarbonate case with an inner diameter of 41 mm and a length of 150 mm, which had a blood inlet and a blood outlet in the top part and the bottom part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material

communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal filter equipment.

#### Comparative Example 2

5           As a leucocyte removal filter layer, a nonwoven fabric (coarseness 66 g/m<sup>2</sup>, thickness 0.4 mm) made of a polyester (PET: density 1.38 g/cm<sup>3</sup>) fiber having a mean diameter of 1.7 μm was used in a two-ply, which had a width of 150 mm and a length of 680 mm. A  
10 mesh (mesh size 8 mm, thickness 2 mm) made of polyethylene was also used as a spacer layer with a width of 150 mm and a length of 380 mm. Both layers were wound around a cylindrical mesh (mesh size 8 mm, thickness 1.0 mm, outer diameter 8.0 mm) being  
15 overlapped each other, so that, when they were wound like a roll, the spacer layer was overlapped with an outer filter layer by the spacer section length of 380 mm from an outer end of the filter layer and the spacer layer was not exposed at the outer end, to give a  
20 hollow cylindrical filter material with an outer diameter of 36 mm.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane. Then, the filter material was installed in a  
25 cylindrical polycarbonate case with an inner diameter of 41 mm and a length of 150 mm, which had a blood inlet and a blood outlet in the top part and the bottom

part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal  
 5 filter equipment.

#### Experimental Example 1

Three liters of fresh bovine blood (leucocyte count 4,800 - 6,800 cells/ $\mu$ l, platelet count 163,000 - 239,000 platelets/ $\mu$ l) added with heparin (4,000 U/l) as  
 10 an anticoagulant was passed through each of the filters of the Examples and Comparative Examples described above at 37°C and at the flow rate of 50 ml/min using a blood pump, then leucocyte removal rates and pressure losses of the equipments before and after the  
 15 filtration were studied.

Blood samples were collected at each of inlets and outlets of the filter equipments followed by counting their leucocyte counts [cell/ $\mu$ l] using the Turk's staining method. Leucocyte removal rates were  
 20 calculated from the values of the leucocyte counts as follows:

Leucocyte removal rate [%] = (Leucocyte count of the blood at the inlet side - Leucocyte count of the blood at the outlet side) x 100 / leucocyte count of the  
 25 blood at the inlet side

Pressure losses were obtained by connecting pressure gauges in both the inlet and outlet sides of

$$\text{Pressure loss [mmHg]} = \text{Pressure at the inlet side} - \text{Pressure at the outlet side}$$

Specifications of the filters used in the Examples and the Comparative Examples and their results are shown in Table 1 and Table 2 respectively. In these Tables, the term "Length of Spacer Section" means a length of overlapped part of the mesh between the leucocyte removal filter layers and is expressed by a length of the filter layer in contact with the mesh, excluding an exposed part on the outermost and/or innermost circumferential surface of the filter material. And the term "Flow Achieving Rate" shows an achieving rate of the blood treatments in which 3 liters of blood could be treated without experiencing a pressure loss of 150 mmHg or higher. Furthermore, the specification of each filter material was evaluated by calculating a total performance index which was a value obtained by dividing a product of the Leucocyte Removal

Rate and the Flow Achieving Rate by 100.

#### Example 9

As a leucocyte removal filter layer, a nonwoven fabric (coarseness 66 g/m<sup>2</sup>, thickness 0.4 mm) made of a polyester (PET: density 1.38 g/cm<sup>3</sup>) fiber having a mean diameter of 1.7  $\mu$ m was used in a two-ply, which had a width and a length of 40 mm and 60 mm, respectively. A mesh (mesh size 8 mm) made of polyethylene was also used as a spacer layer with a width of 40 mm. Both layers were wound like a roll so that, when they were wound like a roll, the spacer layer was overlapped with the outer filter layer by a spacer section length of 60 mm from the outer end of the filter layer and a part of the spacer layer was exposed on the outermost filter layer, to give a hollow cylindrical filter material with an outer diameter of 11 mm and an inner diameter of 6 mm as shown in Fig. 4.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane. Then, the filter material was installed in a cylindrical polypropylene case with an inner diameter of 13 mm and a length of 45 mm, which had a blood inlet and a blood outlet in the top part and the bottom part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal

filter equipment.

### Comparative Example 3

As a leucocyte removal filter layer, a nonwoven fabric (coarseness 66 g/m<sup>2</sup>, thickness 0.4 mm) made of a polyester (PET: density 1.38 g/cm<sup>3</sup>) fiber having a mean diameter of 1.7  $\mu$ m was used in a two-ply, which had a width of 40 mm and a length of 60 mm. This layer was wound like a roll to give a hollow cylindrical filter material with an outer diameter of 11 mm and an inner diameter of 8 mm.

Both end faces along the cylindrical axis of this filter material were sealed with a urethane. Then, the filter material was installed in a cylindrical polypropylene case with an inner diameter of 13 mm and a length of 45 mm, which had a blood inlet and a blood outlet in the top part and the bottom part of the case, respectively, so that an outer and an inner circumferential surfaces of the filter material communicated with the blood inlet and the blood outlet of the case, respectively, to give a leucocyte removal filter equipment.

### Experimental Example 2

Fifteen milliliters of human fresh blood (leucocyte count 4,650 cells/ $\mu$ l, platelet count 204,000 platelets/ $\mu$ l) added with ACD-A as an anticoagulant was passed through each of the filter equipments of the

Example 9 and the Comparative Example 4 at the flow rate of 1 ml/min using a blood pump, then leucocyte removal rates before and after the filtration and also concentrations of PF4 ( platelet 4th factor) and  $\beta$ -TG (  $\beta$ -thromboglobulin) as indices for activities of the platelet before and after the filtration were measured.

Blood samples were collected at each of the inlets and the outlets of the filter equipments followed by counting their leucocyte counts [cell/ $\mu$ l] using the Turk's staining method. Leucocyte removal rates were calculated from the values of the leucocyte counts as follows:

Leucocyte removal rate [%] = (Leucocyte count of the blood at the inlet side - Leucocyte count of the blood at the outlet side) x 100 / leucocyte count of the blood at the inlet side

Results are shown in Table 3.

It is found that the activation of platelet is inhibited in the cases of the filter material structures of the present invention compared with the conventional filter structures.

Table 1

	2R Outer Diameter of Filter Section (mm)	2r Inner Diameter of Filter Section (mm)	L Width of Filter or Spacer Section (mm)	V Volume of Filter Section (mm <sup>3</sup> )	b Length of Leucocyte Removal Layer (mm)	d Thickness of Spacer Section (mm)	n Length of Spacer Section (mm)	D Volume Ratio of Spacer Section
Example 1	36	8	150	145000	680	1.0	550	0.57
Example 2	36	8	150	145000	680	1.0	340	0.45
Example 3	36	16	150	123000	680	0.5	650	0.40
Example 4	36	8	150	145000	380	2.0	340	0.70
Example 5	36	8	150	145000	680	1.0	550	0.57
Example 6	36	18	150	115000	680	0.3	650	0.26
Example 7	36	8	150	145000	680	1.0	120	0.12
Example 8	36	8	150	145000	320	2.5	300	0.78
Comparative Example 1	36	22	150	96000	680	0.0	0	0.00
Comparative Example 2	36	8	150	145000	380	2.0	340	0.70



Table 2

	A Leucocyte Removability (%)	B Flow Achieving Rate (%)	C Total Performance Index (AxB) / 100
Example 1	97.6	100	97.6
Example 2	98.5	80	78.8
Example 3	98.1	87	85.3
Example 4	91.5	100	91.5
Example 5	96.5	100	96.5
Example 6	97.0	53	51.4
Example 7	98.5	60	59.1
Example 8	58.6	100	58.6
Comparative Example 1	98.9	33	32.6
Comparative Example 2	92.2	40	36.9

Table 3

	Leucocyte Removability (%)	PF4 (ng/ml)	$\beta$ -TG (ng/ml)
Example 9	97.6	102	976
Comparative Example 4	100	208	1620

## Industrial applicability

Since the blood treating filter equipment of the present invention does not show a blocking of the filter material due to activated coagulation components in the blood even when a large amount of blood on the order of several liters is treated, removal of target substances from a total amount of a desired volume of blood can be performed at high efficiency.

## CLAIMS

1. A blood treating filter device comprising:

(1) a filter material, in which the following components (a) and (b) are wound in an overlapped state, an end part of (b) is exposed to an outer circumferential surface or an inner circumferential surface of the filter material, and both end faces of the filter material are sealed liquid-tightly,

wherein

(a) is a blood treating filter layer, and

(b) is a sheet-like spacer layer through which blood can flow more easily compared with said blood treating filter layer; and

(2) a casing in which the filter material is installed, wherein the casing has a blood inlet and a blood outlet, the blood inlet leads to the side of an exposed end part of (b) on an outer circumferential surface or an inner circumferential surface of the filter material described above, and the blood outlet leads to the opposite side to the blood inlet on an inner circumferential surface or an outer circumferential surface of the filter material.

2. The blood treating filter device according to Claim 1, wherein a volume ratio of the spacer layer is not less than 0.3 and not more than 0.7.

3. The blood treating filter device according to Claim 1 or 2, wherein a spacer layer thickness is not

less than 0.5 mm and not more than 2.0 mm.

4. The blood treating filter device according to any one of Claims 1 - 3, wherein the spacer layer is not exposed on a blood outlet side of the filter material.

5. The blood treating filter device according to any one of Claims 1 - 4, wherein the spacer layer is exposed to the outermost circumferential surface and not to the inner circumferential surface.

6. The blood treating filter device according to any one of Claims 1 - 5, wherein the blood treating filter layer described above is a leucocyte removal filter layer.

7. A method of obtaining blood having adjusted components comprising treating blood with the blood treating filter device according to any one of Claims 1 - 6, and then recovering a solution passing therethrough.

FIG. 1A

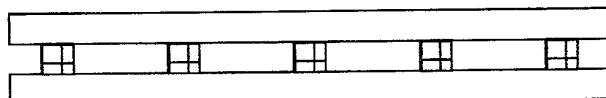
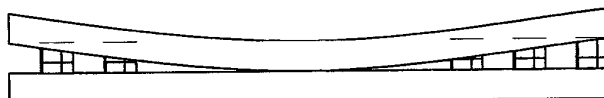


FIG. 1B



Parameter	Value	Unit
Temperature	25.0	°C
Pressure	1.0	atm
Flow rate	1.0	L/min
Concentration	0.1	mol/L
pH	7.0	
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	nm
Detector	Photodiode array	
Injection volume	10	μL
Column	C18	
Mobile phase	Water/Acetonitrile	
Gradient	0-100% ACN in 10 min	
Flow rate	1.0	mL/min
Temperature	30.0	°C
Wavelength	254	nm
Scan rate	1.0	nm/min
Integration time	1.0	s
Resolution	0.5	





FIG. 4

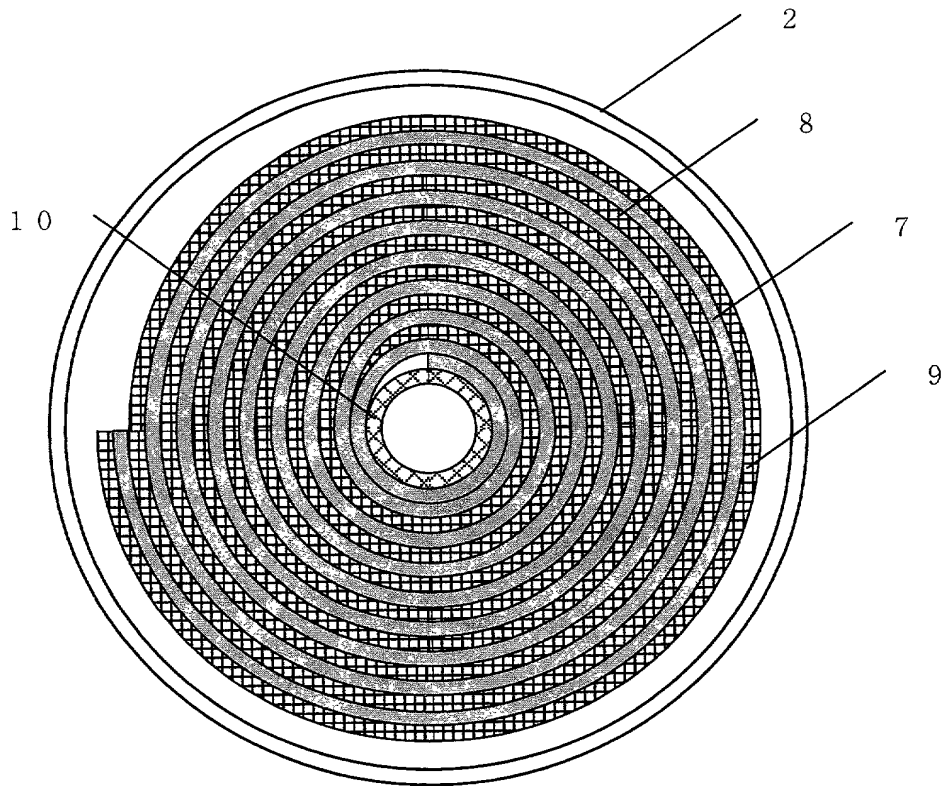




FIG. 5

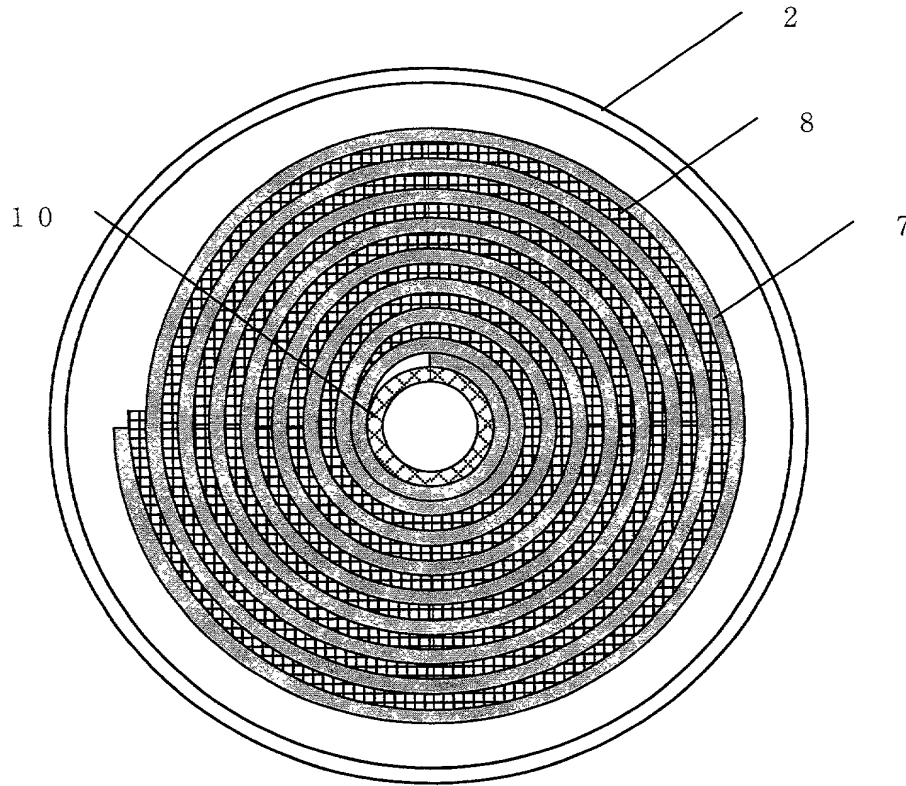
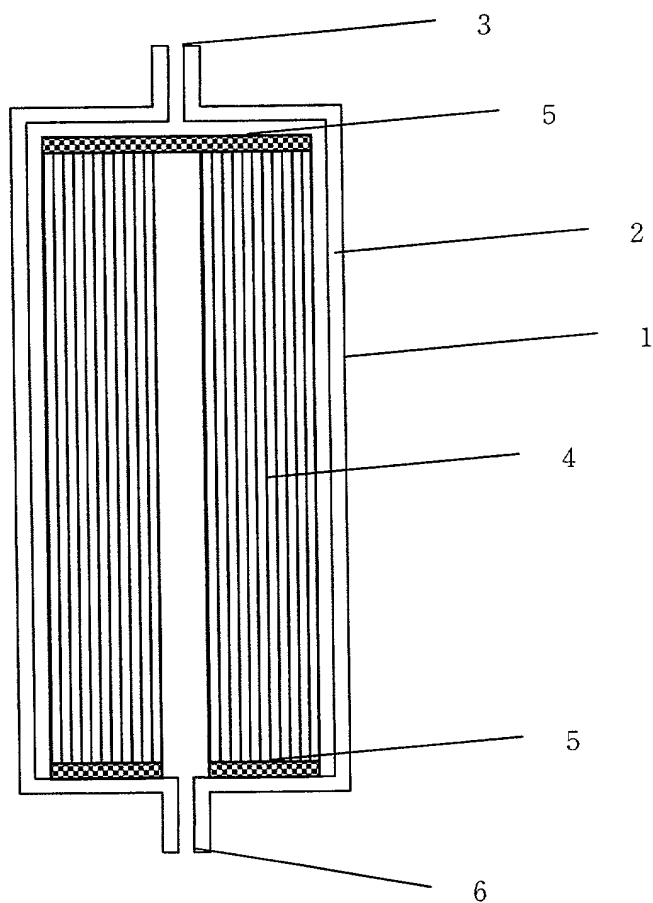


FIG. 6



**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"BLOOD TREATING FILTER EQUIPMENT AND BLOOD TREATMENT METHOD"

the specification of which: *(check one)*

**REGULAR OR DESIGN APPLICATION**

- ☐ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

**PCT FILED APPLICATION ENTERING NATIONAL STAGE**

- ☒ was described and claimed in International application No. PCT/JP99/02478 filed on May 13, 1999 and as amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

**PRIORITY CLAIM**

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**PRIOR FOREIGN APPLICATION(S)**

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed
Japan	10-146717	13 May, 1998	YES
Japan	11-130416	2 April, 1999	YES

*(Complete this part only if this is a continuing application.)*

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status--patented, pending, abandoned)

E4604-02 (7)

## POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from \_\_\_\_\_ as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: **Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoît CASTEL, Reg. No. 35,041, Eric JENSEN, Reg. No. 37,855, Thomas W. PERKINS, Reg. No. 33,027, and Roland E. LONG, Jr., Reg. No. 41,949, c/o YOUNG & THOMPSON, Second Floor, 745 South 23rd Street, Arlington, Virginia 22202.**

Address all telephone calls to Young & Thompson at 703/521-2297.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Ushio IWAMOTO  
(given name, family name)

Inventor's signature Ushio Iwamoto Date October 20, 2000

Residence: Oita-shi, Oita, Japan JPX Citizenship: Japan

Post Office Address: Mezon Ashikari II-403, 1-12-4, Ozaichuo, Oita-shi, Oita, Japan.

Full name of second joint inventor, if any: Junsuke SUEMITSU  
(given name, family name)

Inventor's signature Junsuke Suemitsu Date October 20, 2000

Residence: Oita-shi, Oita, Japan JPX Citizenship: Japan

Post Office Address: Ribasaido Wakabadai 10-2, Oaza Tanegu, Oita-shi, Oita, Japan.

Full name of third joint inventor, if any: Makoto YOSHIDA  
(given name, family name)

Inventor's signature Makoto Yoshida Date October 20, 2000

Residence: Oita-shi, Oita, Japan JPX Citizenship: Japan

Post Office Address: Sapasu Kasugakoen 502, 3-4-29, Seikemachi, Oita-shi, Oita, Japan.